

BCD/CGG

29

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Franc MOIA

Appl. No.: Unassigned (Continuation of U.S.
Appl. No. 09/831,524, filed May 10, 2001)

Group Art Unit: Unassigned

Filed: November 26, 2003

Examiner: Unassigned

For: OPTICAL COMPONENT

-
1. Information Disclosure Statement
 2. PTO-1449 Form

Dated: February 19, 2004

Docket No.: 08130.0065-01-000

CGG/nsg – Please return to B. Green- Mail Drop 1007



(Due Date: ASAP)

MTD 2/23/04

of 23 only

BEST AVAILABLE COPY

PATENT
Customer No. 22,852
Attorney Docket No. 08130.0065-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
Franc MOIA)	Group Art Unit: Unassigned
)	
Application No.: Unassigned)	Examiner: Unassigned
(Continuation of U.S. Appl. No.)	
09/831,524, filed May 10, 2001))	
)	
Filed: November 26, 2003)	
)	
For: OPTICAL COMPONENT)	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant hereby brings to the attention of the Examiner the documents listed on the attached PTO-1449 Form. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents were previously submitted in the parent application, U.S. Application No. 09/831,524, filed May 10, 2001, upon which Applicant relies for the benefits provided in 35 U.S.C. § 120. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached PTO-1449 Form.

BEST AVAILABLE COPY

Among the cited documents, the following applies to the non-English language documents:

- (1) EP 0 772 069 A1: This document is believed to be a counterpart to U.S. Patent No. 5,903,330; and
- (2) EP 0 689 065 A1: This document is believed to be a counterpart to U.S. Patent No. 6,144,428.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.



By: _____

C. Gregory Gramenopoulos
Reg. No. 36,532

Dated: February 19, 2004

BEST AVAILABLE COPY

INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

Atty. Docket No.	08130.0065-01	Appl. No.	Unassigned (Continuation of U.S. Appl. No. 09/831,524, filed May 10, 2001)
Applicant	Franc MOIA		
Filing Date	November 26, 2003	Group:	Unassigned

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,144,428	Nov. 7, 2000	Schadt et al.			
	5,903,330	May 11, 1999	Fünfschilling et al.			
	5,101,296	Mar. 31, 1992	Bell			
	4,220,705	Sept. 2, 1980	Sugibuchi et al.			
	2,165,974	Jul. 11, 1939	Land			
	5,602,661	Feb. 11, 1997	Schadt et al.			
	2,440,105	Apr. 20, 1948	Land et al.			
	3,348,217	Oct. 17, 1967	Snaper			
	5,284,364	Feb. 8, 1994	Jain			
	5,548,427	Aug. 20, 1996	May			
	5,576,862	Nov. 19, 1996	Sugiyama et al.			
	5,784,139	Jul. 21, 1998	Chigrinov et al.			
	5,838,407	Nov. 17, 1998	Chigrinov et al.			
	6,124,970	Sept. 26, 2000	Karashev et al.			
	6,160,597	Dec. 12, 2000	Schadt et al.			
	6,215,539	Apr. 10, 2001	Schadt et al.			
	6,496,239	Dec. 17, 2002	Seiberle			

FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
GB 2 268 906	Jan. 26, 1994	Great Britain			
EP 0 722 069	May 7, 1997	Europe			
EP 0 689 065	Dec. 27, 1995	Europe			
WO 98/52077	Nov. 19, 1998	PCT			
WO 00/57356	Sept. 28, 2000	PCT			

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	